

**DERWENT-ACC-N :** 2002-407589

**DERWENT-WEEK:** 200251

**COPYRIGHT 1999 DERWENT INFORMATION LTD**

**TITLE:** **Distributed Bragg reflector laser processing method for DWDM network, involves processing DBR laser in response to wavelength of Bragg peak in portion of light reflected by Bragg grating**

**INVENTOR:** ACKERMAN, D A; CHU, S G ; DEAN, E J ; JOHNSON, J E ; KETELSEN, L J ; ZHANG, L

**PATENT-ASSIGNEE:** AGERE SYSTEMS OPTOELECTRONICS  
GUARDIAN[AGERN]

**PRIORITY-DATA:** 2000US-0668675 (September 22, 2000)

**PATENT-FAMILY:**

<b>PUB-NO</b>	<b>PUB-DATE</b>	<b>LANGUAGE</b>	<b>PAGES</b>
<b>MAIN-IPC</b>			
EP 1195861 A2	April 10, 2002	E	027 H01S
005/00			
JP 2002158400 A	May 31, 2002	N/A	021 H01S
005/125			

**DESIGNATED-STATES:** AL AT BE CH CY DE DK ES FI FR GB GR IE IT LI LT LU LV MC MK NL PT RO SE SI TR

**APPLICATION-DATA:**

<b>PUB-NO</b>	<b>APPL-DESCRIPTOR</b>	<b>APPL-NO</b>	<b>APPL-DATE</b>
EP 1195861A2	N/A	2001EP-0307994	September 19, 2001

**JP2002158400A**

**N/A**

**2001JP-0288062**

**September**

**21, 2001**

**INT-CL (IPC): 01R031/26, H01S005/00 , H01S005/0625 , H01S005/068 ,  
H01S005/125**

**ABSTRACTED-PUB-NO: EP 1195861A**

**BASIC-ABSTRACT:**

**NOVELTY - A distributed Bragg reflector (DBR) laser is processed in response to a wavelength of a Bragg peak in a portion of light reflected by a Bragg grating and to a value of tuning current supplied during illumination of the Bragg grating.**

**DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the following:**

**(a) Wavelength tunable DBR laser operating method;**

**(b) Wavelength tunable DBR laser operating apparatus; and**

**(c) Wavelength tunable DBR laser operating system.**

**USE - For use in dense wavelength division multiplexed (DWDM) optical networks.**

**ADVANTAGE - Enables to measure and compensate wavelength drift, regularly.**

**DESCRIPTION OF DRAWING(S) - The figure shows a flowchart of age-induced changes compensation process.**

**CHOSEN-DRAWING: Dwg.6/16**

**TITLE-TERMS: DISTRIBUTE BRAGG REFLECT LASER PROCESS METHOD**

**NETWORK PR CESS DBR**  
**LASER RESPOND WAVELENGTH BRA PEAK PORTION LIGHT**  
**REFLECT BRA**  
**GRATING**

**DERWENT-CLASS: U12 V07 V08 W02**

**EPI-CODES: U12-A01B1; V07-G11; V07-K04; V08-A04A; W02-C04B4B;  
W02-K04;**

**SECONDARY-ACC-NO:**

**Non-CPI Secondary Accession Numbers: N2002-320140**



(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 1 195 861 A2

(12)

## EUROPEAN PATENT APPLICATION

(43) Date of publication:  
10.04.2002 Bulletin 2002/15

(51) Int Cl.7: H01S 5/00, H01S 5/125,  
H01S 5/0625, H01S 5/068

(21) Application number: 01307994.2

(22) Date of filing: 19.09.2001

(84) Designated Contracting States:  
AT BE CH CY DE DK ES FI FR GB GR IE IT LI LU  
MC NL PT SE TR  
Designated Extension States:  
AL LT LV MK RO SI

(30) Priority: 22.09.2000 US 668675

(71) Applicant: Agere Systems Optoelectronics  
Guardian Corporation  
Orlando, Florida 32819-8698 (US)

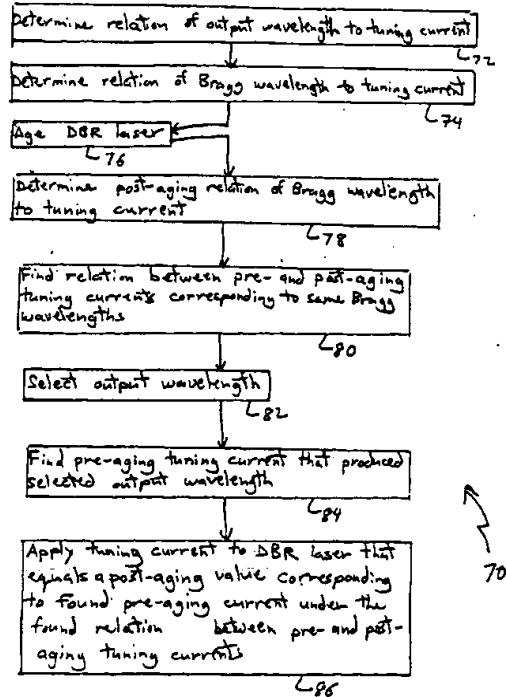
(72) Inventors:  
• Ackerman, David Alan  
Hopewell, New Jersey 08525 (US)

- Chu, Sung-Nee George  
Murray Hill, New Jersey 07974 (US)
- Dean, Eric J.  
Allentown, Pennsylvania 18104-8533 (US)
- Johnson, John Evan  
New Providence, New Jersey 07974 (US)
- Ketelsen, Leonard Jan-Peter  
Clinton, New Jersey 08809 (US)
- Zhang, Liming  
Marlboro, New Jersey 07746 (US)

(74) Representative: Perkins, Sarah  
Stevens, Hewlett & Perkins  
Halton House  
20/23 Holborn  
London EC1N 2JD (GB)

### (54) Aging in tunable semiconductor lasers

(57) A process evaluates an aging property of a distributed Bragg reflector (DBR) laser. The process includes illuminating a Bragg grating of the distributed Bragg reflector (DBR) laser with light while the DBR laser is both supplied a tuning current and not lasing. The process also includes performing an action to the DBR laser responsive to a wavelength of a Bragg peak in a portion of the light reflected by the Bragg grating and a value of the tuning current supplied during the illuminating.



F16.6

EP 1 195 861 A2

## Description

[0001] This invention relates generally to lasers and, more particularly, to aging of tunable semiconductor lasers.

[0002] Recent work has concentrated on producing laser transmitters for use in dense wavelength division multiplexed (DWDM) optical networks. In a DWDM network, data signals are transmitted in a set of adjacent and spectrally narrow bands. Each band functions as a separate communications channel. For such a channel structure, a transmitter must produce a spectrally narrow output that injects optical energy into a single band. Distributed Bragg reflector (DBR) lasers are strong candidates as transmitters for DWDM networks, because DBR lasers have spectrally narrow outputs.

[0003] A tunable DBR laser has an output wavelength that depends on the value of a tuning current. The dependence may be shown by an operating characteristic. Figure 1 shows an initial operating characteristic 10 for one DBR laser. The operating characteristic 10 has a series of steps 11-20. A DBR laser operates in a single mode by staying on one of the steps when functioning as a DWDM transmitter. The output wavelength remains fairly constant while the laser remains on one step. Use physically changes the DBR laser, and the physical changes alter the locations of the steps 11-20 to produce new operating characteristic 22. On the new characteristic 22, an initial value of the tuning current may produce a new output wavelength. Thus, aging can induce drift of the laser's output wavelength..

[0004] Age-induced wavelength drift has serious consequences for DBR lasers that operate as transmitters in DWDM networks. Wavelength drift can cause the laser to hop to a new mode on an operating characteristic. The new mode may have a wavelength that corresponds to a different channel of the DWDM network. Mode hopping can be avoided through closed-loop wavelength feedback, which adjusts the value of the tuning current in response to detecting the onset of a mode hop. Additional closed-loop wavelength feedback can also keep side-band emission levels low, by maintaining operation of the DBR laser near a center of a step. Nevertheless, closed-loop wavelength feedback cannot compensate for changes in the values of tuning currents of other modes, i.e., steps at which the DBR laser is not presently operating. Changes to the value of tuning currents for those modes result in uncertainties on how to change the tuning current to move to a new step on the operating characteristic, e.g., to change the transmission channel in a DWDM network.

[0005] In one embodiment, the invention features a process for evaluating an aging property of a distributed Bragg reflector (DBR) laser. The process includes illuminating a Bragg grating of the distributed Bragg reflector (DBR) laser with light while the DBR laser is both supplied a tuning current and not lasing. The process also includes performing an action to the DBR laser re-

sponsive to a wavelength of a Bragg peak in a portion of the light reflected by the Bragg grating and a value of the tuning current supplied during the illuminating.

[0006] In another embodiment, the invention features 5 a process for operating a wavelength-tunable DBR laser. The process includes operating the DBR laser at a first output wavelength, measuring a value of a tuning current causing the DBR laser to operate at the first output wavelength, and calculating a new value of the tuning current. The act of calculating is based in part on the measured value of the tuning current. The new value is capable of operating the DBR laser at a second output wavelength.

[0007] In another embodiment, the invention features 15 an apparatus including a wavelength-tunable DBR laser and a controller coupled to apply a tuning current to an electrical terminal of the DBR laser. The controller is capable of applying a new value of the tuning current to the terminal to cause the DBR laser to jump to a new operating mode and is configured to determine the new value based in part on a measured pre-jump value of the tuning current.

[0008] In another embodiment, the invention features 20 a system capable of determining age-induced changes to an output wavelength of a DBR laser. The system includes the DBR laser, a spectrum analyzer positioned to receive light reflected by a tunable Bragg grating of the laser, and a processor coupled to receive data on a reflection spectra of the Bragg grating from the spectrum analyzer. The processor also receives data values of tuning currents associated with the reflection spectra and is configured to determine a functional relation between Bragg peak wavelengths and the values of the tuning currents from the data.

[0009] The invention will now be described by way of example only, with reference to the accompanying drawings in which:-

40 Figure 1 shows initial and post-aging operating characteristics of a tunable DBR laser;

Figure 2 is a side view of a tunable DBR laser;  
45 Figure 3 shows a reflection spectrum of a Bragg grating used in the tunable DBR laser of Figure 2;  
Figure 4 shows the reflection spectrum of the Bragg grating of Figure 2 for various values of the tuning current;

50 Figure 5 shows an operating characteristic of the DBR laser at the age at which the Bragg spectra of Figure 4 were measured;

Figure 6 is a flow chart for a process that compensates for age-induced changes to the operating characteristic of the DBR laser of Figure 2;  
55 Figure 7 shows the age-induced change to the relation between Bragg wavelength shift and tuning current for the DBR laser of Figure 2;

Figure 8A shows initial, aged, and compensated Bragg peak wavelengths of the tunable Bragg grating used in the DBR laser of Figure 2;

Figure 8B shows initial, aged, and compensated operating characteristics of the DBR laser of Figure 2; Figure 9 shows an apparatus for measuring age-induced changes to the operating characteristic of a DBR laser;

Figure 10 shows a reflection spectrum measured by the apparatus of Figure 9;

Figure 11 is a side view of a monolithic tunable DBR laser;

Figure 12 is a process for measuring Bragg spectra of the DBR laser of Figure 11 using the apparatus of Figure 9;

Figure 13 is a process for qualifying or disqualifying a tunable DBR laser with respect to stability against wavelength drift over a preselected lifetime;

Figure 14 shows age-induced evolution of coefficients of mono-molecular contributions to the tuning current for several DBR lasers;

Figure 15 shows an apparatus that compensates for age-induced wavelength drift in DBR laser 30 shown in Figure 2; and

Figure 16 is a flow chart for a process used by the apparatus of Figure 16 to jump to new operating modes in a manner that compensates for aging.

## 1. Tunable DBR Lasers

[0010] Referring to Figure 2, a tunable DBR laser 30 including at least a gain section 32 and a tuning section 34 is shown. The gain section 32 houses a portion 35 of an optical waveguide 36. The portion 35 has a gain medium and forms a Fabry-Perot cavity in which lasing occurs. The laser's Fabry-Perot cavity is bounded on one side by a reflector 37, e.g., a cleaved crystal facet or a grating, and on a second side by the tuning section 34. The tuning section 34 functions as a tunable reflector for the laser's Fabry-Perot cavity. Aging alters physical properties of the tuning section 34 altering, in turn, the operating characteristics of the DBR laser 30.

[0011] The tuning section 34 includes a Bragg grating 38, which is located adjacent to another portion 40 of waveguide 36. The Bragg grating 38 functions as a distributed reflector of the laser's Fabry-Perot cavity by reflecting back a portion of the light received from the cavity. The Bragg grating 38 has a spectrally selective reflectivity that enables tuning of the output wavelength of the DBR laser 30. The spectral selectivity of the Bragg grating provides attenuation for optical side-band modes of the laser's Fabry-Perot cavity so that those modes make up only a smaller portion of the total output optical power of the DBR laser 30. For the DBR laser 30, the output power in the side-band modes can be tuned to be about  $10^{-1}$  to  $10^{-2}$  percent as compared to even more than 50% for non-DBR lasers whose Fabry-Perot cavities have the same dimensions and gain media.

[0012] Referring to Figures 3 and 2, the reflection spectrum 42 of Bragg grating 38 is shown prior to aging.

The reflection spectrum 42 has a strong wavelength-selectivity that is indicated by reflectivity variations between central peak 44 and smaller side peaks 45-50. The wavelength,  $\lambda_m$ , of the central peak is referred to

5 as the Bragg peak wavelength and is equal to  $2L\mu_e(\lambda_m)/m$ , i.e., the Bragg peak wavelength is  $2L/m$  inside tuning section 34. Here, L is the period of the Bragg grating 38,  $\mu_e(\lambda_m)$  is an effective index of refraction in portion 40 of waveguide 36, and m is the grating order, i.e., m is a positive integer. The Bragg grating 38 is a first-order, i.e., m = 1.

[0013] The central Bragg peak 44 has a full-width at half maximum of about 1 to 3 nanometers (nm) and a reflectivity that is 2-4 or more times as large as the reflectivity of any side peak 45-50. The Bragg peak wavelength is controlled by the value of the tuning current applied to the tuning section 34 via electrical terminals 52, 53. Changing the value of the tuning current changes effective index of refraction,  $\mu_e$ , in the portion 40 of waveguide 36 in the tuning section 34. Aging produces physical changes to the tuning section 34 that alter the relationship between the value of the tuning current and  $\mu_e$ .

[0014] The output spectrum of the DBR laser 30 depends both on the gain properties of gain section 32 and on the reflectivity of tuning section 34. Optical modes that are either not strongly amplified in the gain section 32 or not strongly reflected by the tuning section 34 are essentially absent in the laser's output optical signal.

20 30 The gain section 32 has a medium whose gain is almost spectrally flat on the scale of the Bragg reflection width. The spectral flatness of this gain implies that the laser's Fabry-Perot cavity will have several side-band modes and that as much as about 50% of the total output energy could be in those modes if the tuning section 34 also had a spectrally flat reflectivity. In fact, the reflectivity of the tuning section 34 has a strong spectral dependence due to the sharpness of the reflection peaks 44-50 of Bragg grating 38. The spectral dependence of

35 40 the reflectivity makes the laser's Fabry-Perot cavity function as if it has a strongly spectrally dependent "effective" gain. For such an effective gain, only modes of the Fabry-Perot cavity with wavelengths near that of the central Bragg peak 44 occur in the laser's optical output.

45 For the DBR laser 30, side modes carry about  $10^{-1}$  to  $10^{-2}$  percent of the output optical energy if a mode of the Fabry-Perot cavity has a wavelength near the Bragg peak wavelength, i.e., optimal operating condition.

[0015] Under optimal operating conditions, the Bragg peak wavelength of tunable Bragg grating 38 closely corresponds to the output wavelength of DBR laser 30. This correspondence is used, by various embodiments, to track age-induced changes to the operating characteristic that relates output wavelength to tuning current.

[0016] Referring to Figure 4, reflection spectra A, B, and C of Bragg grating 38 for corresponding initial values  $I_A$ ,  $I_B$ , and  $I_C$  of the tuning current are sketched. The initial values of the tuning current satisfy  $I_A > I_B > I_C$ .

The  $I_A$ ,  $I_B$ , and  $I_C$  values of the tuning current produce reflection spectra A, B, and C with different values of Bragg peak wavelength,  $\lambda_B$ , because the value of effective index of refraction  $\mu_e$  depends on the value of the tuning current and the value of Bragg peak wavelength,  $\lambda_B$ , depends on the value of  $\mu_e$ .

[0017] On each spectrum A, B, and C, the reflectivity of light at 1549, 1550, and 1551 nm, i.e., wavelengths of modes of the laser's Fabry-Perot cavity, are indicated by "x", "+", and "o", respectively. For values of the tuning current producing spectra B and C, one optical mode of the laser's Fabry-Perot cavity has a wavelength closest to the Bragg peak wavelength. That mode has a much higher reflectivity than other modes of the laser's Fabry-Perot cavity, which have wavelengths farther away from the Bragg peak wavelength. For the value of the tuning current producing spectra A, no single mode of the laser's Fabry-Perot cavity has a wavelength close to the Bragg peak wavelength. Here, the two modes of the cavity at 1549 and 1550 nm have comparable reflectivities and are approximately equally well reflected by Bragg grating 38, because both modes have wavelengths at about equal distances from the Bragg peak wavelength.

[0018] Figure 5 shows initial operating characteristic 54 of the DBR laser 30. The operating characteristic 54 indicates how the laser's output wavelength initially depends on the tuning current. On the operating characteristic 54, points A', B', and C' correspond initially to respective values  $I_A$ ,  $I_B$ , and  $I_C$  of the tuning current. Points B' and C' lie at centers of steps 56, 58 of the characteristic 54 and correspond to "pure" operating modes in which side-band emissions are low. Point A' lies on a region between two steps 56 and 60 of the characteristic 54 and corresponds to a mixed mode in which two output wavelengths are present.

[0019] Referring to Figure 4 and 5, the spectrally selective reflectivity of Bragg grating 38 effectively modulates the gain of the laser's Fabry-Perot cavity. For values  $I_B$  and  $I_C$  of the tuning current, a single mode of the laser's Fabry-Perot cavity at about 1550 nm and 1551 nm respectively, has a wavelength close to the Bragg peak wavelength of spectra B, C. For these values of the tuning current, only the mode at the Bragg peak wavelength is significantly amplified and capable of lasing. Other modes of the laser's Fabry-Perot cavity are attenuated due to the smaller reflectivity of the Bragg grating 38 at the wavelengths of those modes. These other modes are not significantly present in the output of the DBR laser 30. Thus, the spectra B and C produce "spectrally pure" operating modes at centers of steps 56, 58 of the operating characteristic 54 where side-band emissions are very attenuated. For the value  $I_A$  of the current, two modes of the laser's Fabry-Perot cavity at 1549 and 1551 nm are about equally reflected by the Bragg grating 38. Thus, both modes are present in the optical output of the DBR laser 30, and this value of the tuning current corresponds to a point between two steps

56, 60 of the operating characteristic 54.

[0020] Figures 4 and 5 illustrate that the location of the central reflection peak of tunable Bragg grating 38 with respect to the wavelengths of modes of the laser's Fabry-Perot cavity determines the laser's operation. Operation produces one output wavelength when the wavelength of a single mode of the laser's Fabry-Perot cavity is closest to the Bragg peak wavelength. Operation produces two output wavelengths when two modes of the cavity have wavelengths at roughly equal distances from the Bragg peak wavelength. Since the wavelengths of modes of the laser's Fabry-Perot cavity depend only weakly on the value of the tuning current, measuring shifts to the Bragg peak provides a quantitative measure of age-induced wavelength drift in the DBR laser 30.

## 2. Compensating for Age-Induced Wavelength Drift

[0021] Figure 6 is a flow chart showing a process 70 that compensates for age-induced wavelength drift in a DBR laser, e.g., laser 30 of Figure 2. The process 70 determines an initial functional relation, i.e.,  $\lambda_i(I)$ , between the laser's output wavelength,  $\lambda_i$ , and the value

25 of the tuning current,  $I$  (step 72). The relation may provide an operating characteristic such as the characteristic 54 of Figure 5 or may provide a single pair of corresponding values for an output wavelength and a value of the tuning current that produces that wavelength. The 30 determination of the initial functional relationship is based on measurements of the output spectrum of the DBR laser for one or more initial tuning current values. The process 70 determines a functional relation,  $\lambda_{B,i}(I)$ , between initial Bragg peak wavelengths,  $\lambda_{B,i}$ , of the laser's tunable Bragg grating and values of the tuning current,  $I$  (step 74). The determination of this initial relation uses spectral measurements on light reflected by the laser's tunable Bragg grating while the laser is not lasing, i.e., gain section 32 is OFF.

[0022] Next, process 70 ages the DBR laser either through normal use or through accelerated use (step 76). After aging, the process 70 again determines the functional relation,  $\lambda_{B,n}(I)$ , between Bragg peak wavelength,  $\lambda_{B,n}$ , and one or more values of the tuning current,  $I$  (step 78). The determination of the post-aging functional relationship includes performing new optical reflectivity measurements on the laser's Bragg grating while the DBR laser is not lasing. Various embodiments assume that the tuning current,  $I$ , is either a linear or 45 cubic polynomial in measured Bragg peak wavelength  $\lambda_{B,i}$  or  $\lambda_{B,n}$  as appropriate.

[0023] From the pre-aging and post-aging Bragg spectra, process 70 finds a relation between post-aging values,  $I_n$ , and pre-aging values,  $I_i$ , of the tuning current 50 that correspond to the same Bragg peak wavelength (step 80). The corresponding values define a functional relationship such as  $I_n = F(I_i)$  or  $I_i = F^{-1}(I_n)$ . To find the corresponding values, the process 70 solves  $\lambda_{B,n}(I_n) =$

$\lambda_{B,i}(I_i)$ . An exemplary relationship is  $I_n - I_i = f(\lambda_{B,n}(I_i) - \lambda_{B,i}(I_i))$ , which relates the shift of the post-aging tuning current  $I_n$  with respect to the pre-aging current  $I_i$  to the age-induced change in Bragg wavelength for the same tuning current  $I_i$ .

[0024] Figure 7 plots data that illustrate the relationship between age-induced shifts to tuning currents  $\Delta_{\text{tuning}}$ , i.e.,  $\Delta_{\text{tuning}} = I_n - I_i$ , and  $\delta\lambda_B$  for an exemplary DBR laser. Herein,  $\delta\lambda_B$  is the post-aging shift of the Bragg peak wavelength  $\lambda_B$  from the value for no tuning current, i.e.,  $\delta\lambda_B(I_n) = \lambda_{B,n}(I_n) - \lambda_{B,n}(0)$ . Since  $\lambda_{B,n}(0) = \lambda_{B,i}(0)$ ,  $\delta\lambda_B$  tracks changes to the Bragg peak wavelength for tuning current,  $I_n$ . The data points show that  $\Delta_{\text{tuning}}$  depends linearly on  $\delta\lambda_B$ . The linear dependence is approximately described by line 90 whose slope is " $\Delta a$ ". In terms of this slope, the relation between post-aging and pre-aging currents  $I_n$  and  $I_i$  is approximately given by  $I_n = I_i + \Delta a[\lambda_{B,n}(I_n) - \lambda_{B,n}(0)]$ .

[0025] The functional relationship between pre-aging and post-aging values of the tuning current enables compensating for age-induced wavelength drift during operation of the DBR laser. To operate the DBR laser, the process 70 selects an output wavelength (step 82). The process 70 finds the pre-aging tuning current,  $I_s$ , that previously generated the selected output wavelength by using the pre-aging relationship between output wavelength and tuning current (step 84). Then, the process 70 applies a new value of tuning current,  $I_a$ , to the DBR laser (step 86). The new value  $I_a$  corresponds to the pre-aging tuning current,  $I_s$ , under the relationship found between pre-aging and post-aging tuning currents, i.e.,  $I_a = F(I_s)$ . Applying the new value,  $I_a$ , of the tuning current produces the selected output wavelength.

[0026] Figures 8A and 8B illustrate results obtained from compensation process 70 of Figure 6 for an exemplary DBR laser. Figure 8A displays measured pre-aging and post-aging functions  $\lambda_{B,i}(I)$  and  $\lambda_{B,n}(I)$ , respectively, which relate Bragg peak wavelength to tuning current for the exemplary DBR laser. Figure 8A also displays compensated function,  $\lambda_{B,n}(F(I))$  to show the quality of the function  $F$  through the agreement between Bragg peak wavelengths  $\lambda_{B,n}(F(I))$  and  $\lambda_{B,i}(I)$ . Figure 8B displays pre-aging operating characteristic,  $\lambda_{o,i}(I)$ , and post-aging operating characteristic,  $\lambda_{o,n}(I)$ , for the same DBR laser. Figure 8B also displays the compensated post-aging operating characteristic,  $\lambda_{o,n}(F(I))$ , which the process 70 obtained from the function  $F(I)$  relating pre-aging and post-aging values of tuning currents. Heights and tuning current ranges of the pre-aging and compensated post-aging operating characteristics  $\lambda_{o,i}(I)$  and  $\lambda_{o,n}(F(I))$ , respectively, agree to within measurement uncertainties.

[0027] The close agreement between the curves for  $\lambda_{o,n}(F(I))$  and  $\lambda_{o,i}(I)$  shows that operating the aged DBR laser at compensated values  $F(I_i)$  of the pre-aging tuning current,  $I_i$ , current eliminates wavelength drift. In particular, if current  $I_i$  operated the DBR laser optimally prior

to aging, i.e., at a center of a selected step on an operating characteristic, then the current  $F(I_i)$ , which is provided by process 70, will optimally operate the DBR laser after aging.

5

### 3. Measuring Bragg Reflection Spectra

[0028] Figure 9 shows an apparatus 100 for measuring Bragg peak wavelengths and tuning currents of DBR laser 30. The apparatus 100 includes an external light source 102 for illuminating Bragg grating 38 through an optical input/output 104 while the DBR laser 30 is supplied a tuning current, e.g., via terminals 52, 53 and is not lasing. The light source 102 produces a spectrum 10 that is broad and featureless over a wavelength band in which the Bragg reflection spectrum of the Bragg grating 38 is located. To inhibit lasing during measurements of the Bragg reflection spectrum, either no current is applied to the gain section 32 of the DBR laser 30 or the current is kept below the current value at which the gain section 32 becomes transparent. Light reflected by the Bragg grating 38 passes back through optical input/output 104 and is reflected by a reflector 106 into a spectrum analyzer 108. The spectrum analyzer 108 provides data on spectral intensities to a processor 110.

[0029] The processor 110 performs an action on DBR laser 30 in response to data on the reflection spectra from spectrum analyzer 108 and time-correlated data on tuning currents from ammeter 111. In some embodiments, the action includes applying a tuning current of value  $F(I_i)$  to tuning section 34 to compensate for age-induced shifts to a pre-aging tuning current,  $I_i$ . In other embodiments, the action includes qualifying or disqualifying DBR laser 30 with respect to subsequent age-induced wavelength shifts.

[0030] The processor 110 includes program storage media 112, e.g., a random access memory, hard drive, a magnetic disk, or an optical disk, that stores a control program written in a computer-executable form. The program is configured to perform process 70 based on data received from spectrum analyzer 108 and ammeter 111 while Bragg grating 38 is externally illuminated by light source 102. The program uses the data to determine the function,  $F(I_i)$ , which defines a post-aging tuning current,  $I_n$ , that compensates age-induced effects on pre-aging tuning current,  $I_i$ , and thus, to determine the form of the above-described action to be performed on the DBR laser 30.

[0031] Figure 10 shows an exemplary spectrum 113 from the spectrum analyzer 108. The spectrum 113 includes a slowly varying background light 114, which may, e.g., be produced by an absorption edge of a digital electro-absorption modulator (not shown) located along the path of reflected light. Electro-absorption modulators tend to cut off short wavelength light. The background light 114 also includes direct light from light source 112. On top of the background light 114, the light reflected by the Bragg grating 38 forms a system of re-

flection peaks 116 that includes a central Bragg peak 118. Since the background light 114 is broadband, the processor 110 or analyzer 108 can distinguish the reflection peaks 116 from the background light 114.

[0032] Some embodiments use a different geometry in which an external light source illuminates Bragg grating 38. Then, only reflected light is received by the spectrum analyzer 108, because the reflected light does not pass back through light source 102 in those embodiments.

[0033] Figure 11 shows a monolithic tunable DBR laser 30' whose age-induced wavelength drift can be compensated by process 70 of Figure 6. The DBR laser 30' has collinear gain, tuning, amplification, and digital modulation sections 32', 34', 102', 120' constructed into a single InP semiconductor substrate.

[0034] The gain section 32 includes a strongly index-guided waveguide 35' that has two parallel portions. The waveguide 35' belongs to a P-N junction that is located in a heterostructure. The P-N junction provides optical amplification when forward biased and becomes optically opaque when unbiased or reverse-biased, e.g., by shorting electrical terminals 51' and 53'. The strongly index-guided waveguide 35', a cleaved crystal facet 37', and a reflective tuning section 34' form a laser Fabry-Perot cavity.

[0035] The waveguide 35' couples to waveguide 40', which is located in tuning section 34' and does not have a pumpable gain medium. The waveguide 40' is adjacent Bragg grating 38'. The Bragg grating 38' is tunable through a tuning current applied via to terminals 52' and 53' as previously described.

[0036] The waveguide 40' also couples to waveguide 103' of amplification section 102'. The waveguide 103' is located in another P-N heterostructure that can be pumped by a current applied to terminal 122' to optically amplify light received from tuning section 34'. When electrically pumped, the P-N junction of the amplification section 102' also produces broadband light through spontaneous emission.

[0037] The waveguide 103' prolongs into digital modulation section 120', which is controlled through biasing terminal 124'. The modulation section 120' amplitude-modulates continuous wave signals from amplification section 102' to produce digital optical output signals. The modulation section 120' includes an anti-reflective coating 125' that reduces reflections.

[0038] An example of the monolithic tunable DBR laser 30' of Figure 11 is described in U.S. Patent No. 5,253,314; which is incorporated by reference herein in its entirety.

[0039] Figure 12 shows a process 130 for measuring Bragg spectra of tunable DBR laser 30' of Figure 11. The process 130 electrically biases gain section 32' so that externally incident light is absorbed (step 132). Absorption occurs when the current flowing through electrical terminal 51' has a value below a threshold for optical transparency. In one embodiment, terminals 51' and 53'

are shorted so that the gain section 32' is opaque to light incident from tuning section 34'. The process 130 also applies a voltage to terminal 124 to bias digital modulation section 120' to be transparent or semi-transparent

5 so that light reflected by Bragg grating 38' can escape to be detected by an external spectrum analyzer (step 134). The biasing may however, leave the modulation section 120' slightly absorptive to impede optical resonances caused by multiple reflections between reflective coating 125' and the Bragg grating 38'. The process 130 applies a selected tuning current to the tuning section 34' (step 136). The process 130 applies an electrical current either to amplification section 122' or to gain section 32' so that broadband light is spontaneously emitted 15 to illuminate the Bragg grating 38' while the DBR laser 30' is not lasing (step 138). Then, the amplification section 102' or gain section 32' functions as the light source 102 by producing spontaneous emission light due a forward biasing of a P-N junction. Any current in the gain section 32' is smaller than the threshold for self-sustaining "stimulated" emission that causes lasing.

[0040] The process 130 measures the spectrum of back reflected light from the Bragg reflector 38' (step 140). From the measured spectrum, the process 130 20 determines the wavelength of the Bragg reflection peak (step 142). This determination may include subtracting out a broadband background of spontaneous emission light from the tuning section 102'. Then, the process 130 stores the corresponding pairs of values of Bragg peak wavelength and tuning current (step 144). The process 130 determines whether Bragg peak wavelengths corresponding to other values of the tuning current are needed (step 146). If data for other values of the tuning current is needed, the process 130 loops back to repeat 25 the determination for a new value of the tuning current (148). If data corresponding to the needed values of the tuning current has been obtained, the process 130 stops (step 150).

#### 4. Prequalifying Lifetimes of DBR Lasers

[0041] Referring to Figure 11, individual copies of monolithic DBR laser 30' have operating characteristics that differ due to uncontrollable construction variations 45 in dimensions, positions, and physical characteristics of elements of the lasers. To account for variations to tuning section 34', each DBR laser 30' needs to be initially calibrated to determine the laser's operating characteristic. Thereafter, age-induced wavelength drift can be regularly measured and compensated for as described in process 70 of Figure 6.

[0042] Instead of regularly compensating for wavelength drift through process 70, each DBR laser 30' can be initially quality tested to predict a lifetime over which 50 that laser's wavelength-to-tuning-current characteristic is unlikely to vary. At the end of the predetermined lifetime, the DBR laser 30' can be discarded. The use of quality testing avoids the need to deploy apparatus 100

of Figure 9 to regularly monitor wavelength drift for individual DBR lasers 30'. The lifetime prediction may be implemented as a simple pre-qualification/pre-disqualification criteria performed during production, i.e., pass/fail, or as an individualized prediction of a lifetime for each DBR laser 30'.

[0043] Figure 13 is a flow chart showing a process 160 for qualifying or disqualifying a tunable DBR laser, e.g., laser 30' of Figure 11, with respect to stability against future wavelength drift. The process 160 measures the Bragg peak wavelength of the laser's tunable Bragg grating for a plurality of values of the laser's tuning current, e.g., by process 130 of Figure 12 (step 161). After manufacture of the DBR laser, the process 160 operates the laser during a preselected burn-in period (step 162). The length of the burn-in period may vary for different types of tunable DBR lasers, e.g., 0, 10%, or 20% of the expected lifetime for the laser 30'. After the burn-in, the process 160 again measures Bragg peak wavelengths of the laser's tunable Bragg grating for the plurality of values of the laser's tuning current (step 164). From the pre-aging and post-aging measurements, the process 160 evaluates the age-dependency of a preselected property of the function,  $I(\lambda_B)$ , which relates Bragg peak wavelength,  $\lambda_B$ , to the tuning current  $I$  (step 166). In one embodiment, the preselected property is the coefficient A for mono-molecular contributions to  $I(\lambda_B)$ . The mono-molecular contribution is strongly affected by defect densities in the laser's tuning section, and defect densities are increased by aging. The process 160 determines whether the age-dependency of the preselected property falls in a predetermined range, which qualifies the laser against wavelength drift during the preselected lifetime (step 168). If the age-dependency is in the predetermined range, the process 160 qualifies the DBR laser under test (step 170). If the age-dependency is outside the qualification range, the process disqualifies the DBR laser under test, and the laser is discarded (step 172).

[0044] The tuning current,  $I$ , is approximately expressible as a cubic polynomial of the carrier density,  $n_t$ , in the laser's tuning section. Thus, the current,  $I$ , is given by:

$$I/e \cdot V = a \cdot n_t + b \cdot n_t^2 + c \cdot n_t^3$$

where "a", "b", and "c" are measurable constants. The "a" term is the mono-molecular contribution, which is most sensitive to physical changes induced by aging. To relate the value of the tuning current to Bragg peak wavelength, one writes the change  $\delta\lambda_B$  in the Bragg peak wavelength as a linear function of the change,  $\delta n_t$ , in the carrier density.

$$\delta\lambda_B = \frac{\lambda_B}{n_e} \Gamma \frac{dn_t}{dn_t} \delta n_t.$$

Here,  $n$ ,  $n_e$ , and  $\Gamma$  are the refractive index, the effective index of refraction, and the confinement factor for waveguide 40'. Combining both equations produces a relation between shifts to the Bragg peak wavelength,  $\delta\lambda_B$ , caused by nonzero tuning currents,  $I$ , and values of the tuning current,  $I$ . The relation is given by:

$$I = a' \delta\lambda_B + b' (\delta\lambda_B)^2 + c' (\delta\lambda_B)^3$$

with

$$a = a' \frac{\lambda_B \Gamma}{eVn_e} \frac{dn_t}{dn_t}, b = b' \frac{\lambda_B \Gamma}{eVn_e} \frac{dn_t}{dn_t}, \text{ and } c = c' \frac{\lambda_B \Gamma}{eVn_e} \frac{dn_t}{dn_t}$$

Here,  $\delta\lambda_B$  is equal to  $\lambda_B(I) - \lambda_B(0)$ . In this equation, the values of coefficients "a", "b", and "c" are obtained from measured values of the Bragg peak wavelength and tuning current.

[0045] Figure 14 shows how coefficient "a" of the mono-molecular contribution to the tuning current changes over several aging cycles for ten exemplary DBR lasers 30'. Each cycle provides for accelerated aging by about

25 3 to 10% of the expected 25 year operating cycle of the DBR laser and was performed by operating the laser with a continuous tuning current of 150-200 mA and an operating temperature of 100-120°C for about 24 hours. The ten DBR lasers 30' break into two groups 176, 178.  
30 In the first group 176, the coefficient "a" remains constant after about one 24-hour aging cycle. In the second group 178, the coefficient "a" continues to change as the number of aging cycles grows.

[0046] DBR lasers of the first group 176 qualify as stable against wavelength drift after a 24-hour burn-in whereas DBR lasers of the second group 178 should disqualify, because their "a" coefficients continue to increase even after the burn in. The first and second groups 176, 178 of DBR lasers can be distinguished by at least two properties in process 160 of Figure 13. One distinguishing property is the initial value of the coefficient "a". The initial value is less than and greater than about  $1.1 \times 10^8$  per second for lasers of the first and second groups, respectively. Another distinguishing property is the value of the "a" coefficient after a burn-in period of about 3 to 10% of the expected lifetime of the lasers. For the first and second groups 176, 178, the post burn-in value of the "a" coefficient is less than and greater than about  $1.5 \times 10^8$ , respectively.

## 5. Compensating Aging Based on the Tuning Current Shift

[0047] Plotted data of Figure 7 illustrates a linear functional relationship between  $\Delta I_{tuning}$  and  $\delta\lambda_{Bragg}$  for one DBR laser. The proportionality constant "Δa" relates  $\delta\lambda_{Bragg}$  and  $\Delta I_{tuning}$  over a range of values and is determinable from the age-induced shift to the tuning

current at one laser output wavelength, i.e., one value of  $\delta\lambda_{Bragg}$ . Thus, measuring  $\Delta I_{tuning}$  in one mode enables compensation for age-induced drift in other modes where the linear relationship holds. The linear relationship holds for modes in which heating caused by the tuning current has a negligible effect on the relationship between  $I_{tuning}$  and  $\delta\lambda_{Bragg}$ .

[0048] Figure 15 shows an apparatus 180 that compensates for age-induced wavelength drift in DBR laser 30 shown in Figure 2. The apparatus 180 includes a closed wavelength feedback device 182 that stabilizes a single operating mode against age-induced wavelength drift. The feedback device 182 observes light leaking through back reflector 37 of the laser 30 to generate a signal indicative of changes to the operating wavelength to a controller 184. Based on the signal from the device 182, the controller 184 adjusts the tuning current applied to terminal 52 to compensate for wavelength drift in the DBR laser 30.

[0049] The controller 184 also receives a measurement of the applied value of the tuning current from ammeter 186. Based on the measurement of the tuning current, the controller 184 is able to jump to a new operating mode in a manner that compensates for aging effects on the tuning current in the new operating mode.

[0050] Figure 16 is a flow chart for a process 190 used by controller 184 of Figure 16 to jump to new operating modes in a manner that compensates for aging. The controller 184 stores a set of initial values for tuning currents and Bragg peak wavelength shifts,  $\{I_o(j), \delta\lambda_B(j)\}$ . These values describe the operating modes "j" of the DBR laser 30 prior to aging. Aging does not change the associated Bragg peak wavelength shift  $\delta\lambda_B(j)$ .

[0051] Prior to a jump, the controller 184 receives a measured value of a tuning current,  $I_p(k)$ , in the pre-jump operating mode "k" from ammeter 186 (step 192). The pre-jump tuning current  $I_p(k)$  may differ from the initial value of the tuning current,  $I_o(k)$ , for mode "k" due to aging. The controller 184 receives an external request to jump to a new operating mode "m" (step 194). In response to the request, the controller 184 calculates a compensated value of the tuning current  $I_p(m)$  for the new operating mode "m" based, at least in part, on the measured value of the tuning current  $I_p(k)$  in the pre-jump operating mode "k" (step 196). The controller 184 applies the calculated value of the tuning current  $I_p(m)$  to electrical terminal 52 causing the DBR laser 30 to jump to the new operating mode "m" (step 198). Thus, compensation for age-induced drift in the new mode "m" is based on a measurement of the tuning current in the pre-jump operating mode "k".

[0052] To predict the tuning current,  $I_p(m)$ , for new mode "m", the controller 184 uses the initial  $\{\delta\lambda_B(j), I_o(j)\}$  values, the measured  $I_p(k)$  for the present operating mode, and the known relation between  $\Delta I_{tuning}$  and  $\delta\lambda_B$ . From  $\delta\lambda_B(k)$ ,  $I_o(k)$ , and  $I_p(k)$  for the mode "k", the controller 184 calculates the coefficient,  $\Delta a$ , that defines age-induced changes in the relationship between  $I_{tuning}$

and  $\delta\lambda_B$ , i.e.,  $\Delta a = [I_p(k) - I_o(k)]/\delta\lambda_B(k)$ . Then, the controller 184 uses the same linear relationship to determine  $I_p(m)$  for the new mode "m" from the initial values  $\{\delta\lambda_B(m), I_o(m)\}$  and the calculated value of  $\Delta a$ , i.e.,  $I_p(m) = I_o(m) + \Delta a \delta\lambda_B(m)$ . Thus, the determination of the age-compensated tuning current,  $I_p(m)$ , for the new mode only uses initial data  $\{I_o(j), \delta\lambda_B(j)\}$ , which is stored in the controller 184 at manufacture, and the measured tuning current  $I_p(k)$  in the pre-jump operating mode "k".

[0053] Various embodiments of optical transmitters use apparatus 180 and process 190 of Figures 16 and 17 to control optical transmissions in multiple channels of a DWDM network in a manner that compensates for age-induced wavelength drift.

[0054] Other embodiments of the invention will be apparent to those skilled in the art from consideration of the specification, drawings, and claims.

## 20 Claims

### 1. A process, comprising:

25 illuminating a Bragg grating of a distributed Bragg reflector (DBR) laser with light while the DBR laser is both supplied a tuning current and not lasing; and performing an action on the DBR laser responsive to a wavelength of a Bragg peak in a portion of the light reflected by the Bragg grating and a value of the tuning current supplied during the illuminating.

### 2. The process of claim 1, further comprising:

35 biasing a Fabry-Perot cavity of the laser to absorb incident light during the illuminating.

### 3. The process of claim 1, wherein the illuminating includes supplying another current to the DBR laser, the another current causing spontaneous emission of light from the DBR laser without causing lasing.

40 45 4. The process of claim 1, wherein the action includes changing the value of the tuning current to compensate for age-induced wavelength drift in the DBR laser.

50 55 5. The process of claim 1, wherein the action includes finding a functional relationship that associates new values of the tuning current with old values of the tuning current, the associated new and old values capable of producing the same Bragg peak wavelengths in light reflected by the Bragg grating at earlier and present times, respectively.

### 6. The process of claim 5, further comprising:

- selecting an output wavelength of the DBR laser previously produced in response to one of the old values of the tuning current; and applying one of the new values of the tuning current to the DBR laser in response to the functional relationship associating the ones of the new and old values.
7. The process of claim 1, wherein the performing an action includes determining a quantity predictive of whether an output wavelength of the DBR laser will shift more than a selected amount during a selected lifetime of the DBR laser.
8. The process of claim 7, wherein the performing an action includes marking the DBR laser as disqualified with respect to stability against wavelength drift in response to the value of the quantity predicting that the output wavelength will shift more than the selected amount.
9. The process of claim 7, wherein the performing an act includes marking the DBR laser as qualified with respect to stability against wavelength drift in response to the value of the quantity predicting that the output wavelength will not shift more than the selected amount.
10. The process of claim 7, wherein the quantity is a characteristic of a relationship between age-induced shifts to tuning current values and Bragg peak wavelengths produced in light reflected by the Bragg grating for the tuning current values.
11. The process of claim 7, further comprising:
- determining a relationship between values of a Bragg peak wavelength in light reflected off the Bragg reflector and values of a tuning current applied to the DBR laser; then, burning in the DBR laser for a preselected period; and
- wherein the act of illuminating is performed after the burning in.
12. The process of claim 1, wherein the illuminating includes generating the illuminating light from a semiconductor junction by spontaneous emission.
13. The process of claim 1, further comprising:
- at a time prior to the illuminating, measuring values of Bragg peak wavelengths and values of the tuning current capable of causing the Bragg grating to produce the measured values of Bragg peak wavelengths.
14. The process of claim 13, further comprising:
- determining a portion of an operating characteristic that relates output wavelength of the DBR laser to a value of the tuning current capable of producing the output wavelength at the time prior to the illuminating.
15. The process of claim 14, wherein the performing an action further includes comparing first and second values of the tuning current capable of causing the Bragg grating to produce reflected light with peaks of the same wavelength at the time of the illuminating and at an earlier time, respectively.
16. A process for operating a wavelength-tunable DBR laser, comprising:
- operating the DBR laser at a first output wavelength;
- measuring a value of a tuning current causing the DBR laser to operate at the first output wavelength;
- calculating a new value of the tuning current capable of operating the DBR laser at a second output wavelength based in part on the measured value of a tuning current.
17. The process of claim 16, wherein the calculated new value compensates for age-induced wavelength drift.
18. The process of claim 16, wherein the calculating includes calculating a parameter that relates age-induced shifts to tuning currents to Bragg peak wavelengths of a tunable Bragg reflector of the laser.
19. The process of claim 16, wherein the first and second output wavelengths correspond to first and second operating modes of the DBR laser.
20. The process of claim 16, wherein the calculating includes solving one or more equations relating a pre-aging values of tuning current, an associated Bragg peak wavelength, and the measured value of the tuning current.
21. An apparatus, comprising:
- a DBR laser having an electrical terminal for applying a tuning current;
- a controller coupled to apply a tuning current to the terminal and capable of applying a new value of the tuning current to cause the DBR laser to jump to a new operating mode, the controller configured to determine the new value based in part on a measured pre-jump value of the tuning current.

22. The apparatus of claim 21, further comprising a current measurement device coupled to measure values of the tuning current applied to the DBR laser and to send signals to the controller indicative of the measured values.

5

23. The apparatus of claim 21, wherein the laser controller is further configured to stabilize the DBR laser against age-induced wavelength drift based on optical feed back from the laser.

10

24. The apparatus of claim 22, wherein the controller is configured to compensate for age-induced current drift in the determination of the new value.

15

25. A system, comprising:

a DBR laser; and  
a spectrum analyzer positioned to receive light reflected by a tunable Bragg grating of the DBR laser;  
a processor coupled to receive data on reflection spectra of the Bragg grating from the spectrum analyzer and data on values of tuning currents associated with the reflection spectra, the processor configured to determine a functional relation between Bragg peak wavelengths and the values of the tuning currents from the data.

20

25

26. The system of claim 25, wherein the DBR laser includes one of a spontaneous emission source and a quasi-white-light source capable of illuminating the Bragg grating with broadband light, the optical amplifier section being external to a Fabry-Perot cavity of the DBR laser.

30

35

27. The system of claim 25, wherein the processor is programmed to mark the DBR laser for discard in response to the functional relation predicting that the relation between the Bragg peak wavelengths and values of the tuning current will change within a predetermined lifetime.

40

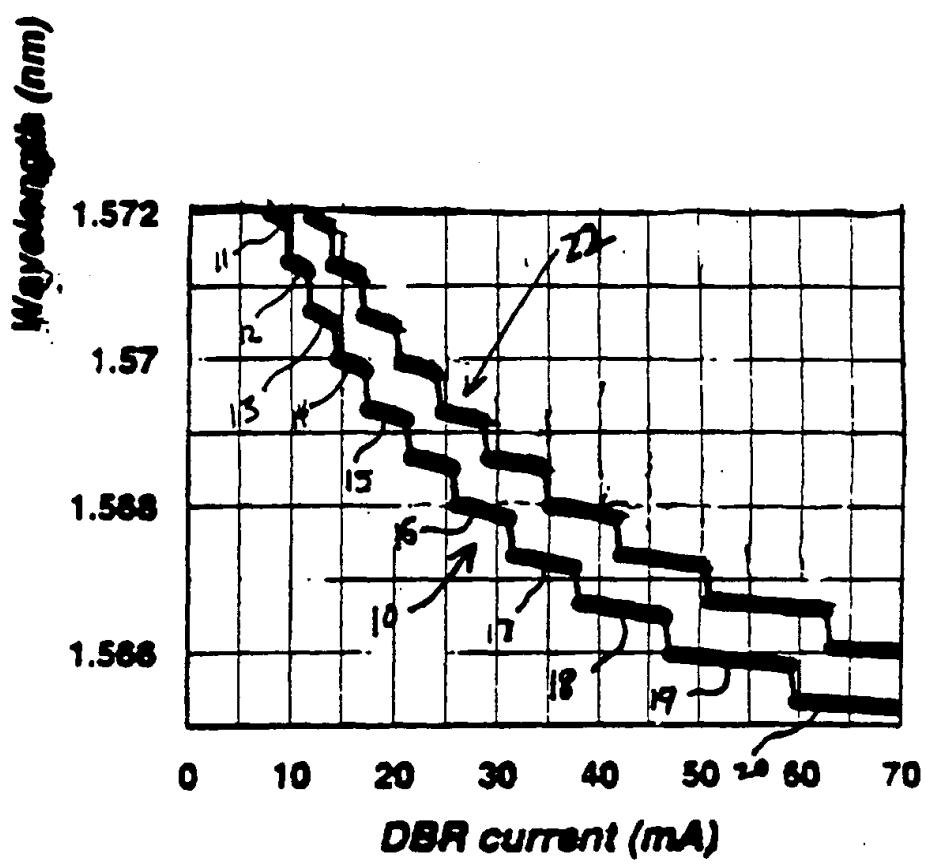
28. The system of claim 25, wherein the processor is programmed to change a value of the tuning current corresponding to a selected output wavelength in response to the data indicating a change in the relation between the Bragg peak wavelengths and the values of the tuning currents.

45

50

55

FIG. 1 (prior art)



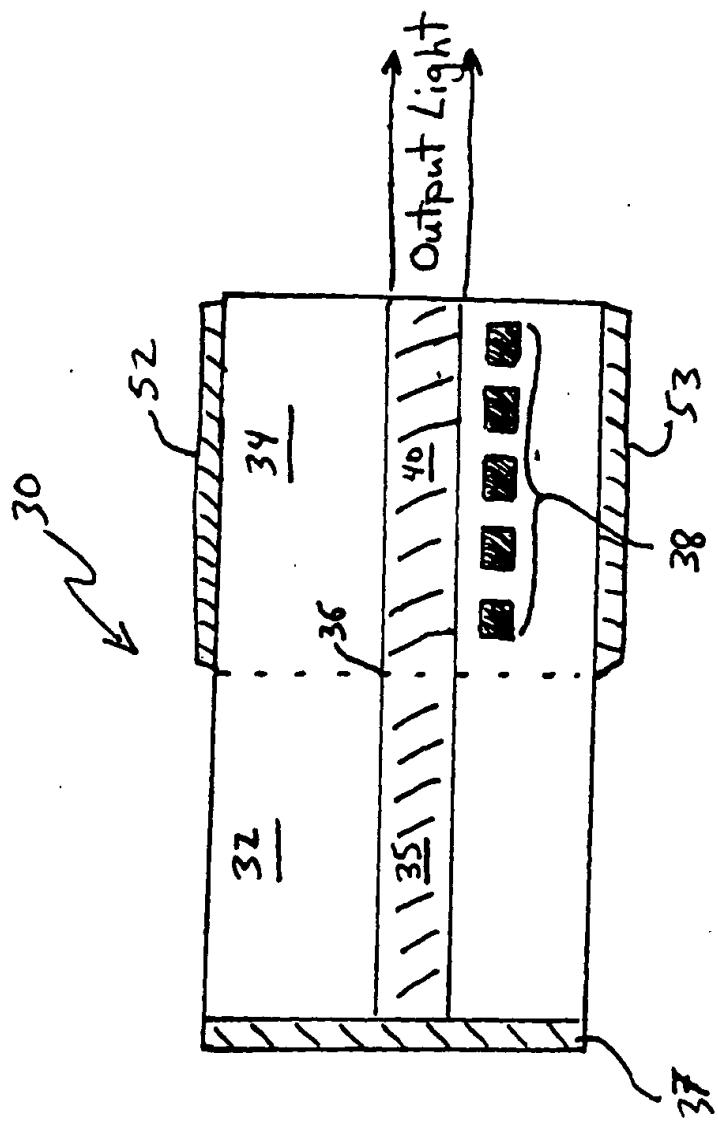
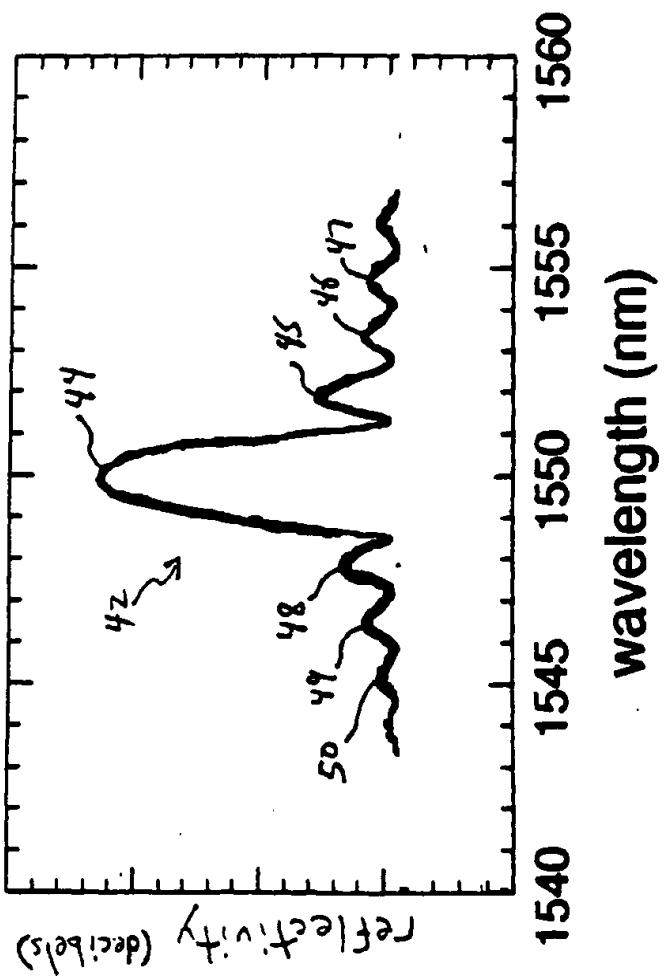


FIG. 2

F16.3



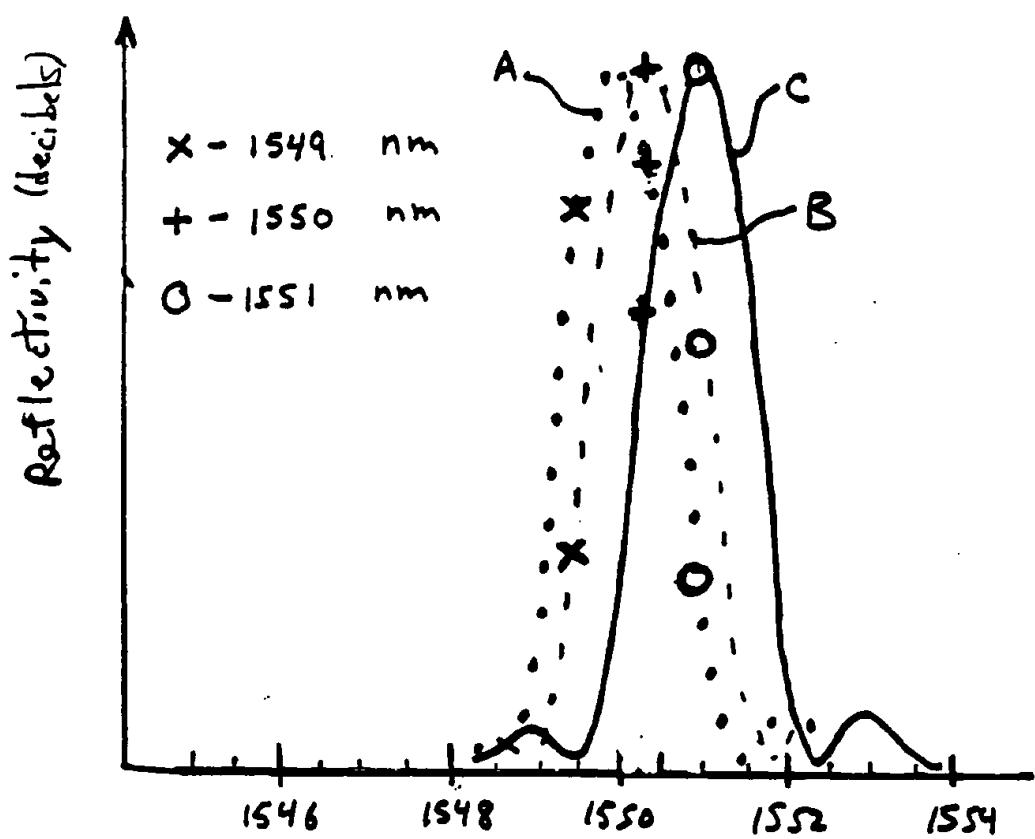


FIG. 4

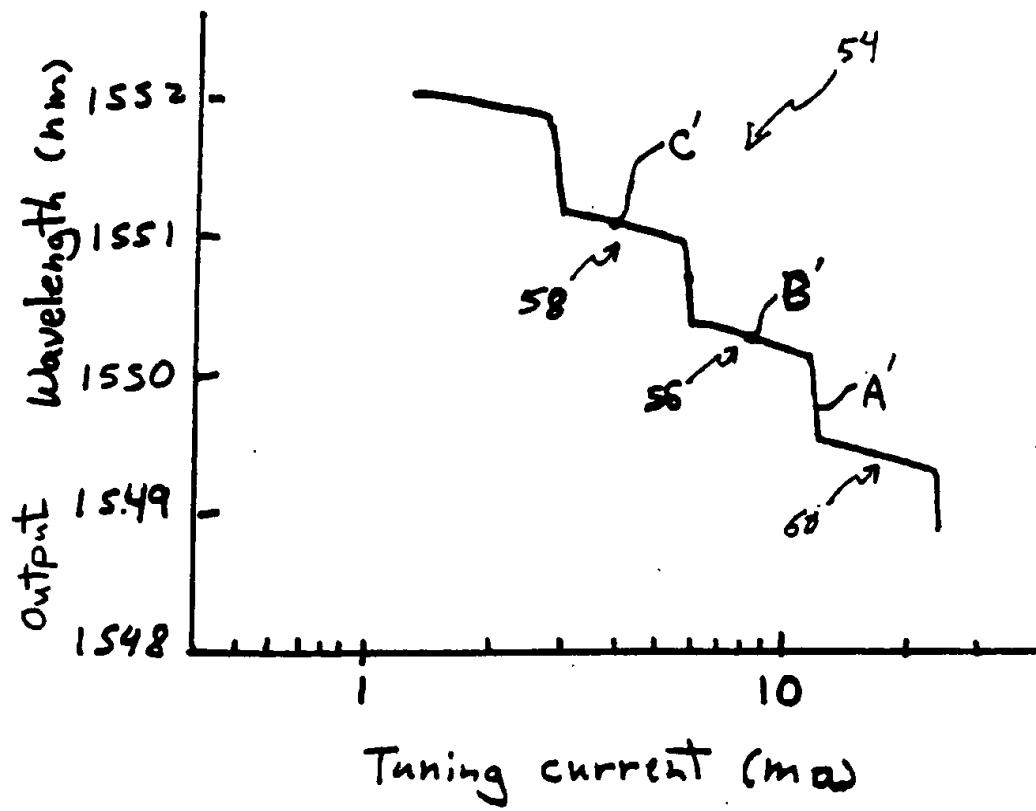


FIG.5

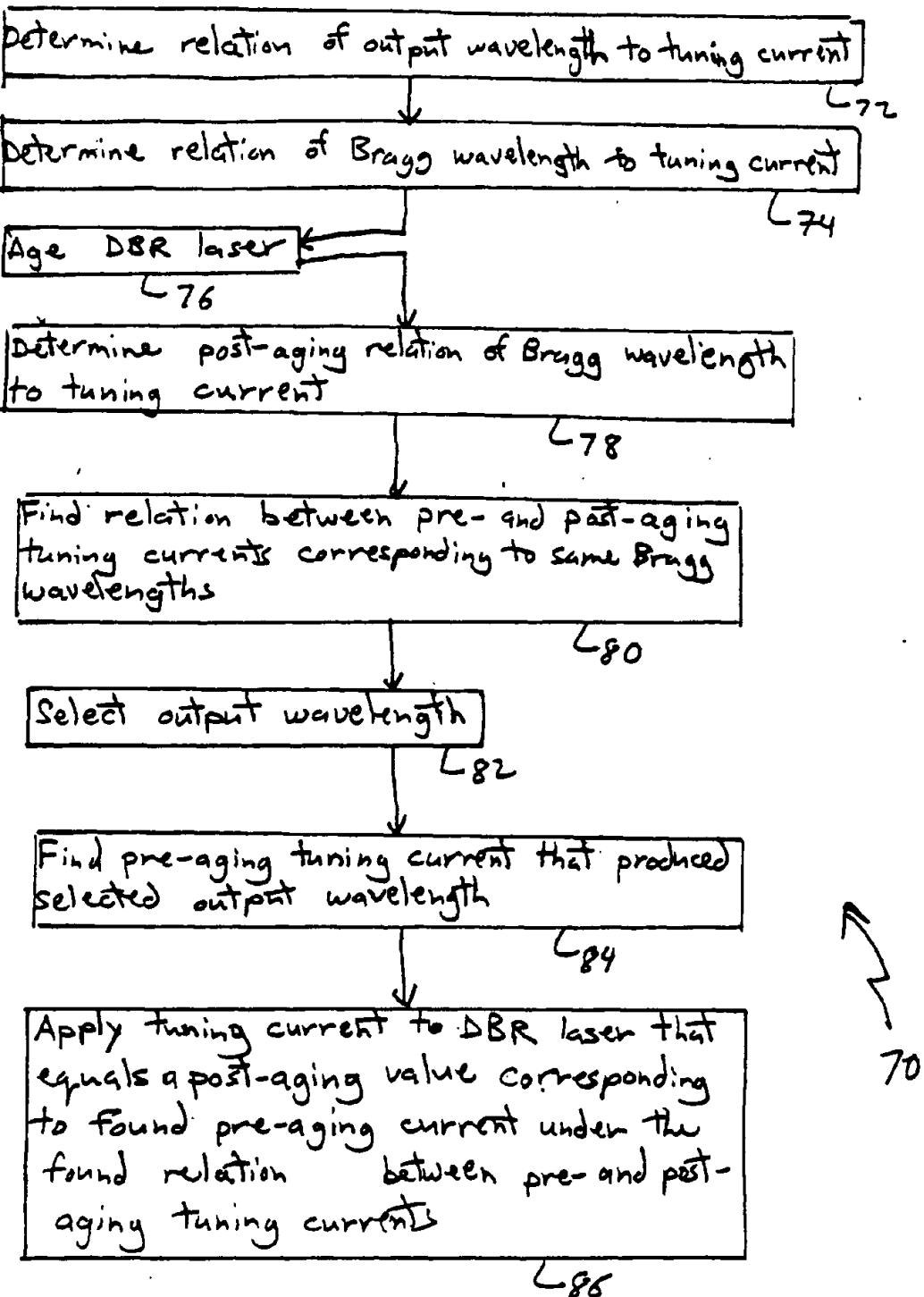


FIG. 6

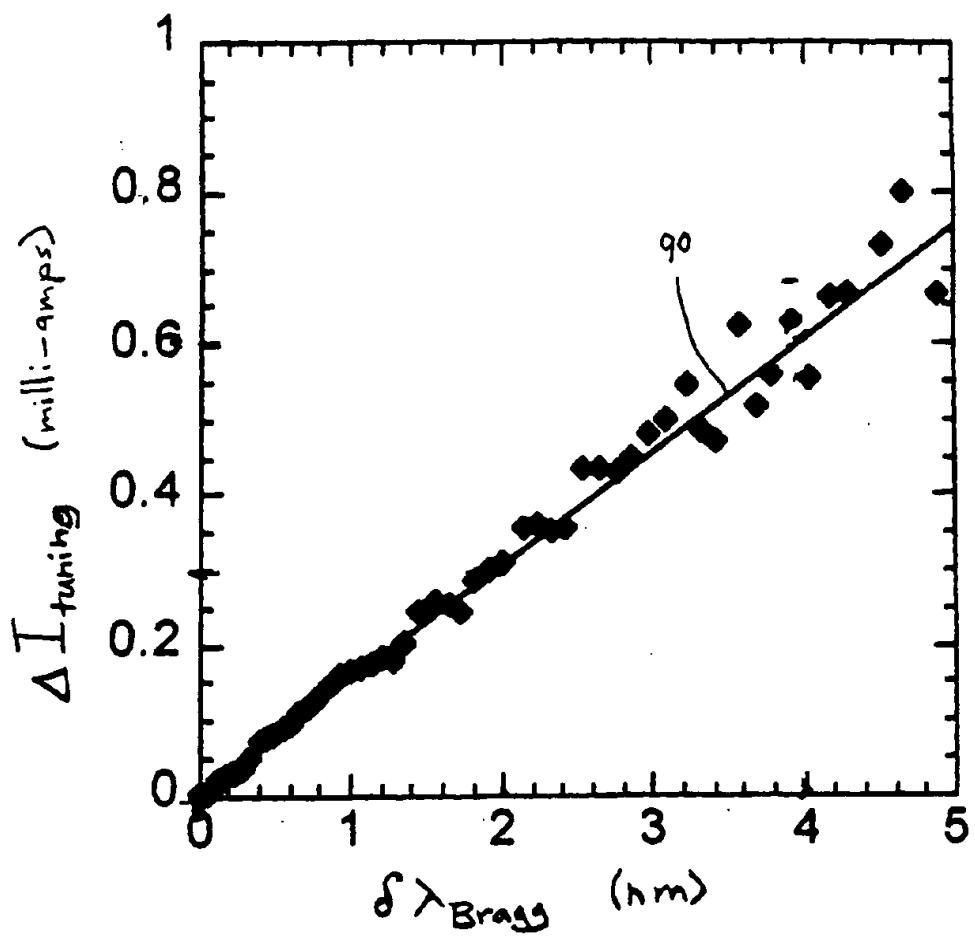


Fig. 7

FIG. 8A

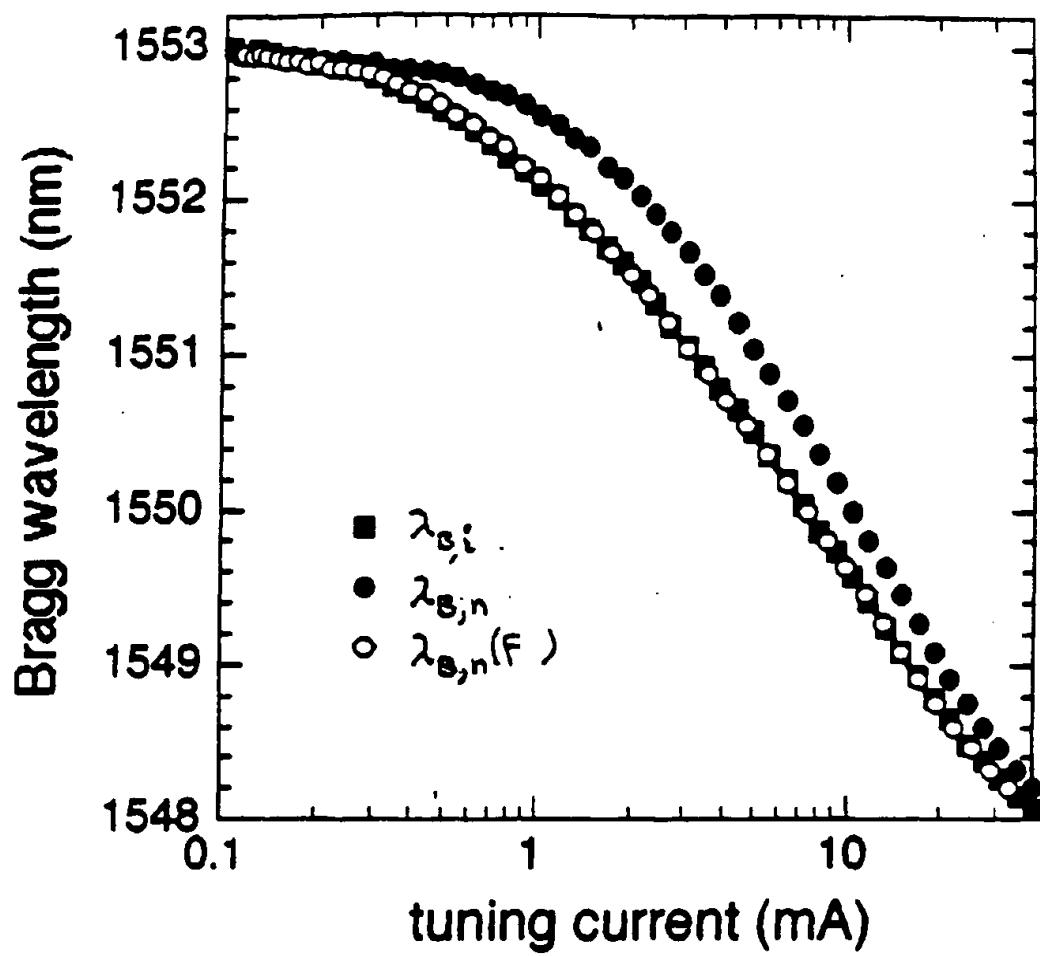


FIG. 8B

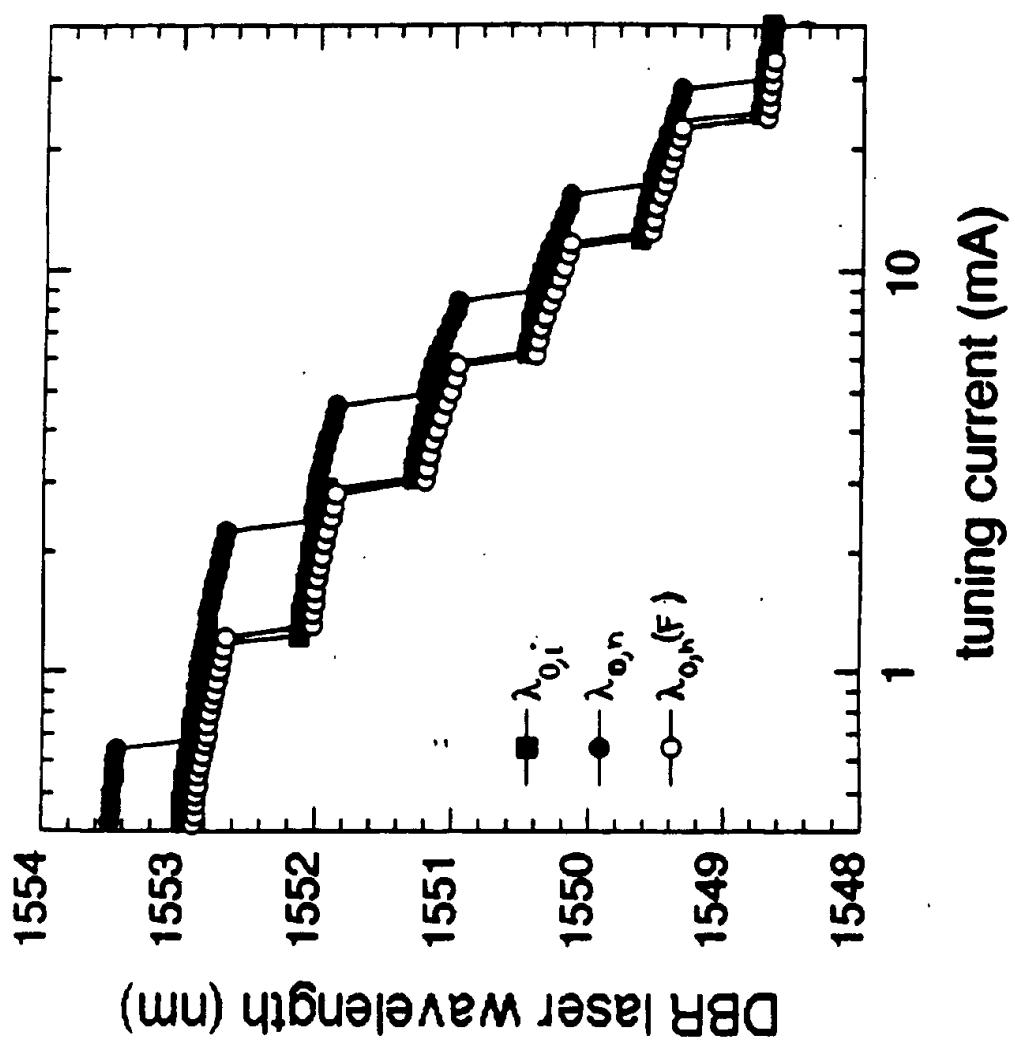
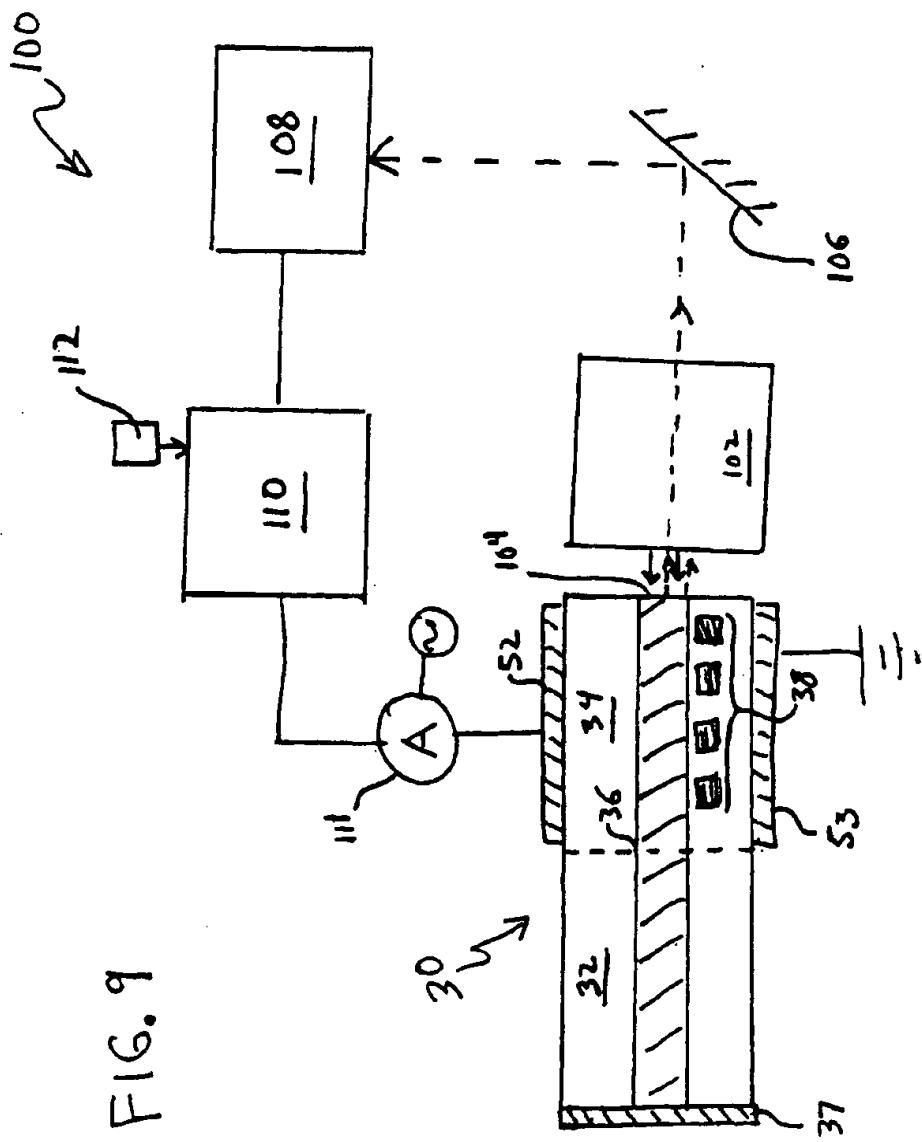


FIG. 9



F16.10

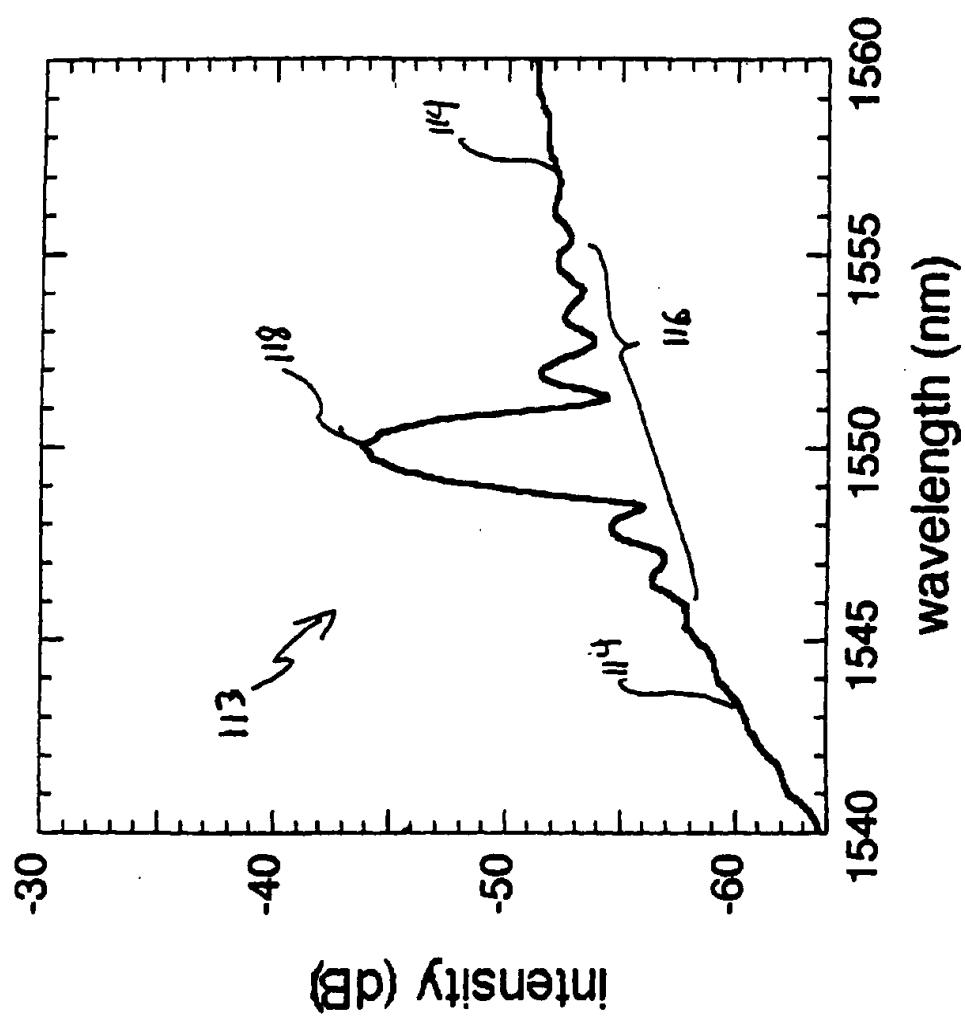
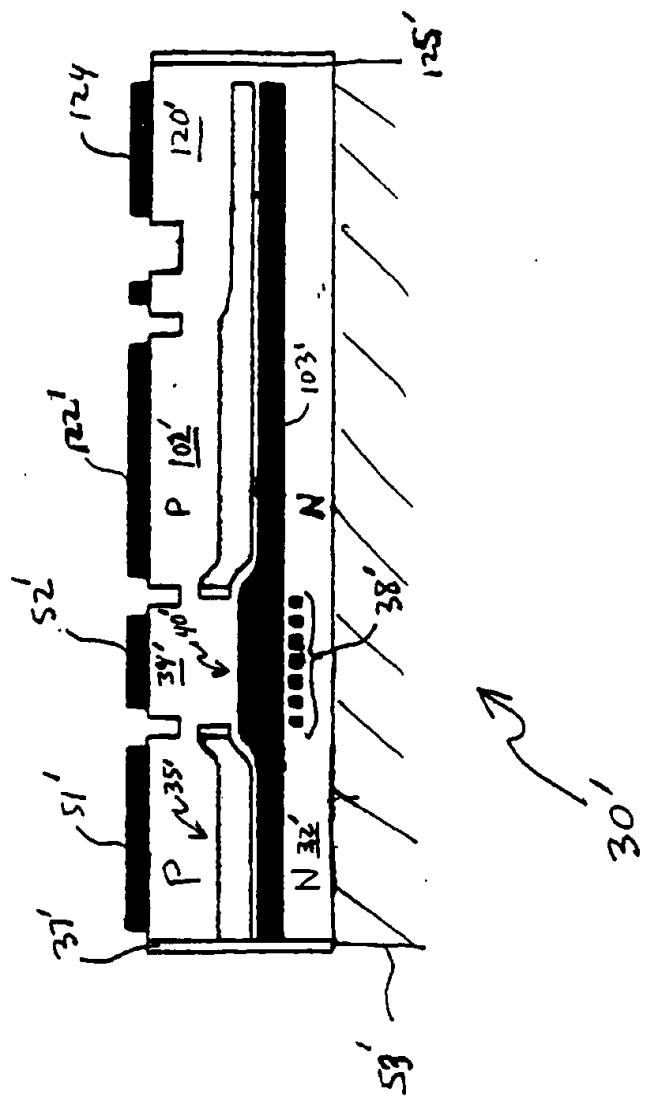


FIG. II



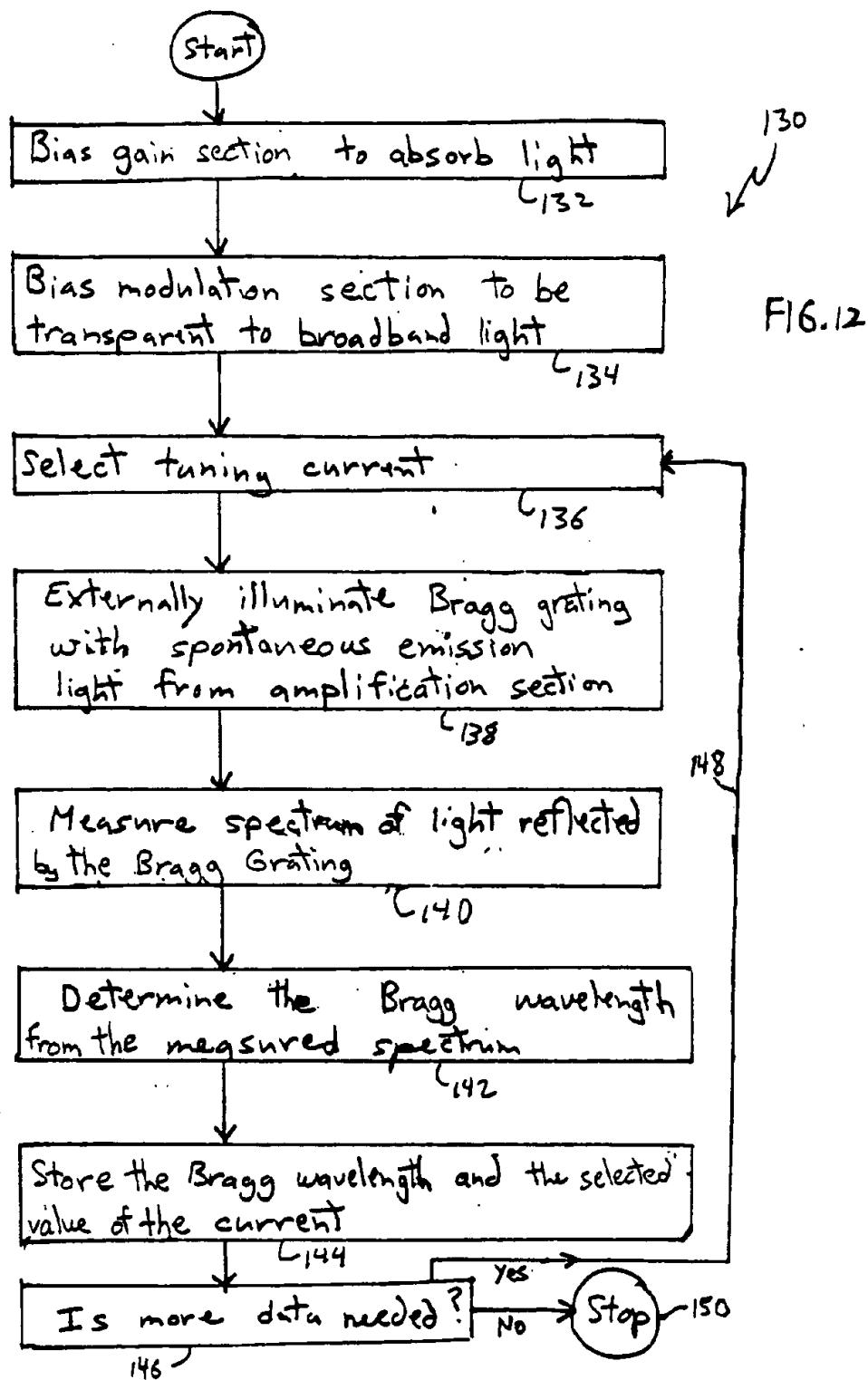
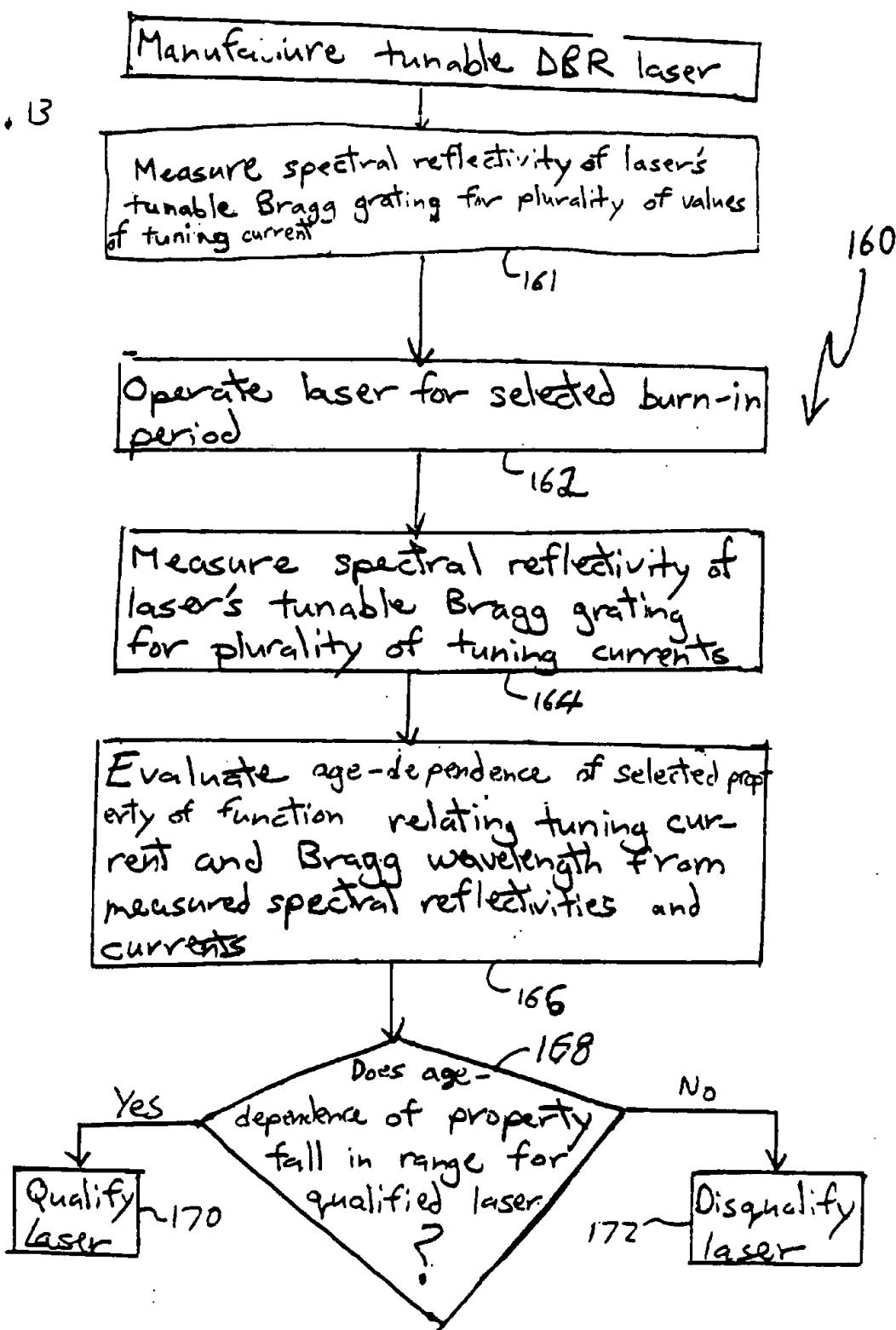


FIG.12

F16.13



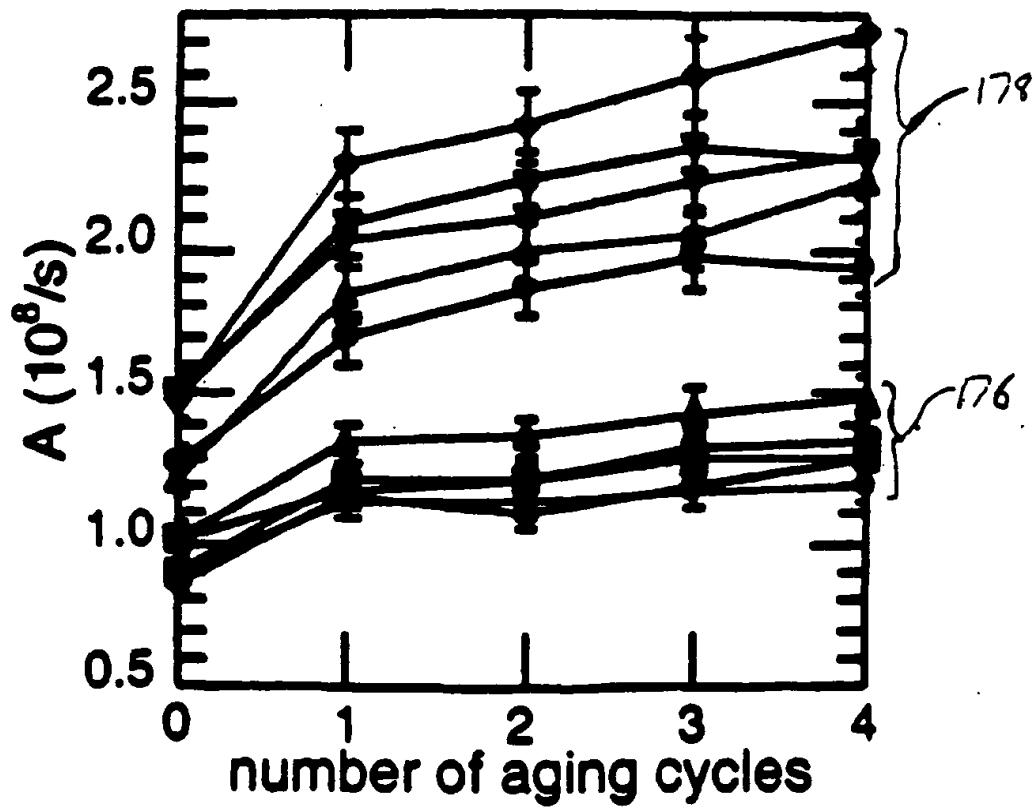


FIG. 14

FIG. 15

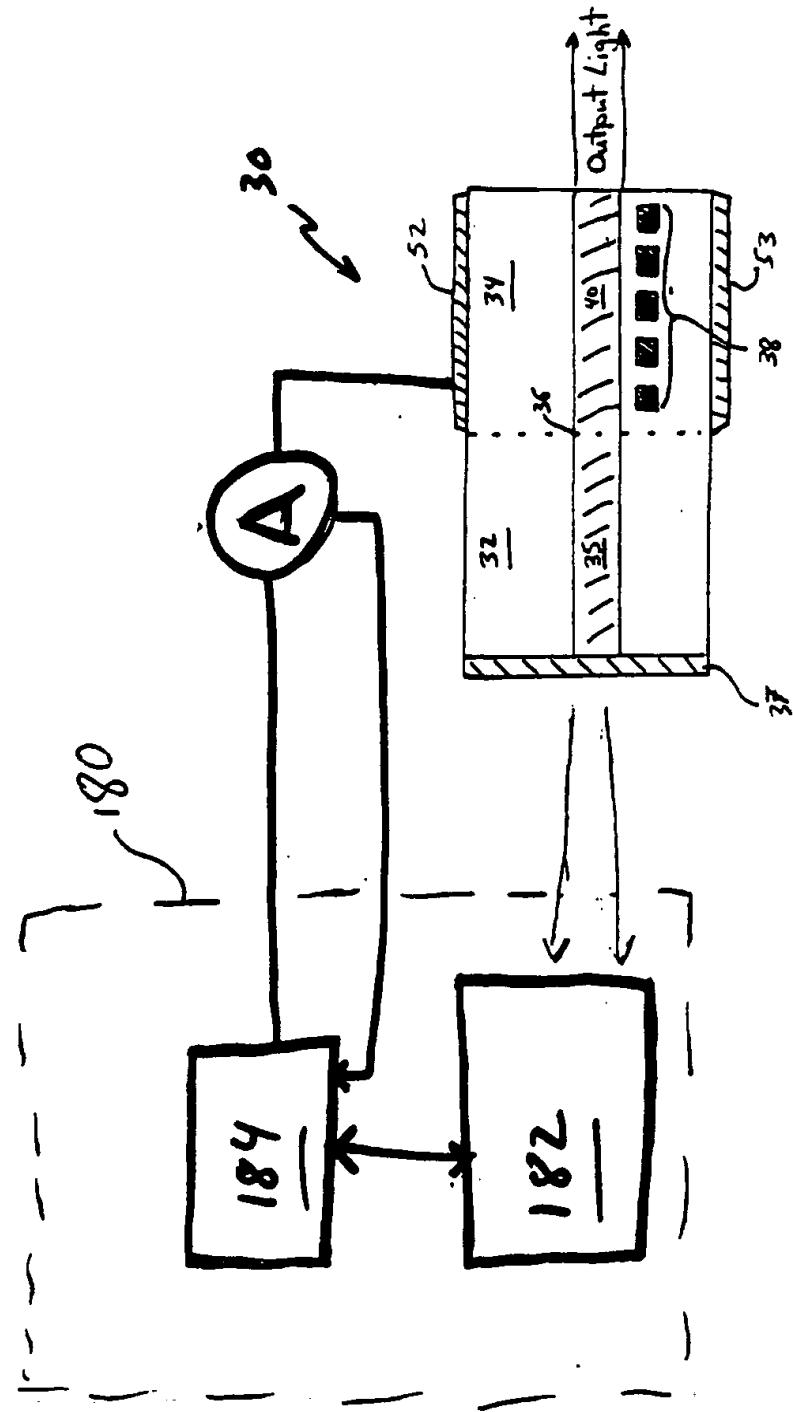
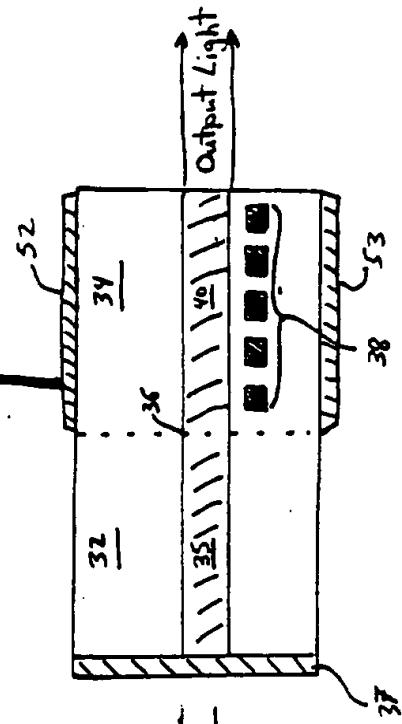


FIG. 2



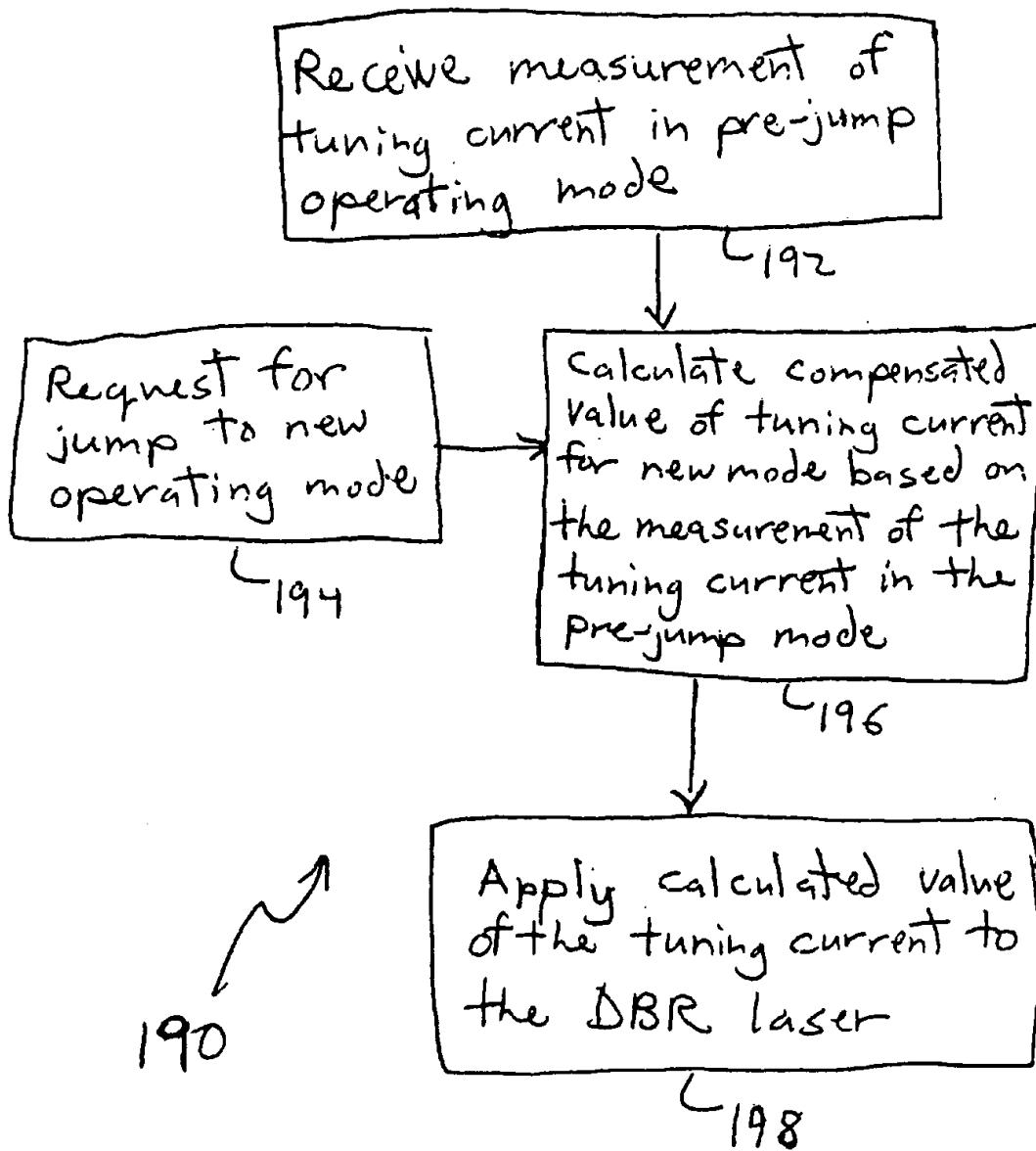


FIG. 16